# First-principles study of structural distortions in frustrated antiferromagnet  $\alpha$ -NaMnO<sub>2</sub>

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First-principles study on structural distortions of the frustrated antiferromagnet  $\alpha$ -NaMnO<sub>2</sub> has been performed by confining the distortions within *ab* plane of the unit cell. The calculated ratios of lattice parameters, *a*/*b*, for both triclinic and monoclinic phases are quite close to the experimental values. The stability of antiferromagnetic triclinic phase compared to the monoclinic phase, which cannot be interpreted by the total energy and density of states, is clearly illustrated by the evolution of total energy with triclinic-monoclinic structural distortion, in good agreement with recent neutron-diffraction experiments. Magnetoelastic effect and anisotropic exchange interactions of this material are also discussed.

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## **I. INTRODUCTION**

Over the past several years, transition-metal-based oxides with the general formula  $ATO<sub>2</sub>$   $(A=Na$  or Li,  $T=3d$  transition metals) have been widely investigated owing to a wealth of interesting behavior, such as large thermopower, $1-4$  $1-4$  superconductivity in several hydrated compounds,  $5.6$  $5.6$  magnetic frustration, and novel magnetic ground states[.7–](#page-4-5)[12](#page-4-6) It is generally believed that these unusual properties are intimately associated with the distinct layered crystal structure, in which magnetic *T* ions map out a triangular lattice network. Particularly, in the  $\alpha$ -NaFeO<sub>2</sub>-type triangular lattice, *A* and *T* ions occupy octahedral sites and the  $TO<sub>6</sub>$  octahedra form layers separated by hexagonal nets of *A* cations.<sup>11[,12](#page-4-6)</sup> The triangular arrangement of magnetic *T* ions forbids simultaneous minimization of the antiferromagnetic (AFM) interaction energies acting at a given site, leading to highly degenerate ground states, i.e., magnetic frustration.

Among the representatives of this family, considerable attention has been paid to  $\alpha$ -NaMnO<sub>2</sub> because of its unusual crystallography and magnetism. $13-20$  $13-20$  On the one hand,  $\alpha$ -NaMnO<sub>2</sub> is a triangular antiferromagnet and the original  $\alpha$ -NaFeO<sub>2</sub>-type rhombohedral structure  $(R-3m)$  is distorted into monoclinic structure  $(C2/m)$  due to the strong Jahn-Teller effect of  $Mn^{3+}$  ions,<sup>15</sup> resulting in lifting of geometrical frustration. This structural stability of monoclinic phase relative to rhombohedral phase was also confirmed by firstprinciples study.<sup>16</sup> On the other hand,  $\alpha$ -NaMnO<sub>2</sub> owns a distinct layered structure with  $MnO<sub>2</sub>$  layers ferromagnetically coupled along the  $c_m$  axis. This ferromagnetic (FM) coupling is very weak and evidenced by both experiments<sup>17</sup> and theory[,19](#page-4-13) characterizing two-dimensional nature of the compound. Recent magnetization and neutron powderdiffraction data<sup>18</sup> showed that in the  $a_m b_m$  plane, the Mn ions are ferromagnetically coupled along the  $[1\bar{1}0]_m$  direction and antiferromagnetically coupled along the  $[110]_{m}$  direction. Thus, coupling along the monoclinic  $b<sub>m</sub>$  axis is AFM, as shown in Fig. [1.](#page-0-0) Since the AFM exchange interaction along the  $b_m$  axis is dominant compared to the interactions along the  $[110]_{\text{m}}$  and  $[110]_{\text{m}}$  directions, the  $\alpha$ -NaMnO<sub>2</sub> is further considered as a one-dimensional AFM-chain system aligned along the  $b_m$  axis.<sup>20</sup> It is especially worth noting that the magnetic frustration is further lifted through magnetoelastic coupling effect, evidenced by a monoclinic-triclinic  $(P-1)$ structural phase transition around 45 K simultaneously with an onset of long-range AFM ordering[.18,](#page-4-14)[20](#page-4-9)

To the best of our knowledge, there are no other theoretical reports on  $\alpha$ -NaMnO<sub>2</sub> except for Refs. [16](#page-4-11) and [19](#page-4-13) and particularly there is no theoretical interpretation on the monoclinic-triclinic structural transition around 45 K. Different from Refs. [16](#page-4-11) and [19,](#page-4-13) where the total energy of different phases were directly calculated, we have performed, in this paper, a first-principles study on the structural distortion of layered  $\alpha$ -NaMnO<sub>2</sub>. For simplicity, we confined the distortion within the *ab* plane considering that the interaction between  $MnO<sub>2</sub>$  layers stacked along the  $c<sub>m</sub>$  axis is quite weak. We pay much attention to the distortion of Mn-Mn bond in the *ab* plane as well as a discussion on the distortion of

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FIG. 1. (Color online) The relationship between triclinic  $(a<sub>t</sub>$  and  $b_t$ ) and monoclinic  $(a_m$  and  $b_m$ ) unit cells in the *ab* plane of -NaMnO2. The symbols "+" and "−" stand for the two antiferromagnetically coupled spins.

Structure		<sub>n</sub>	$\mathcal{C}$	a/b	$\alpha$		
Triclinic $(P1)$	3.1677	3.1605	5.7822	1.0023	110.465	110.415	53.617
Monoclinic $(C2/m)$	5.6700	2.8550	5.8040	1.9860	90	113.230	90

<span id="page-1-0"></span>TABLE I. Lattice parameters ( $\overline{A}$  and  $\degree$ ) of triclinic (4 K) and monoclinic (300 K) phases of NaMnO<sub>2</sub> (Refs. [18](#page-4-14) and [20](#page-4-9)).

 $MnO<sub>6</sub>$  octahedron within the  $MnO<sub>2</sub>$  layer. Our results show that total energy as a function of structural distortion is an effective way to clarify the phase stability among those compounds which own quite similar crystal structures.

# **II. COMPUTATIONAL METHOD**

The self-consistent full potential linearized augmented plane-wave package WIEN2K (Ref. [21](#page-4-15)) has been employed to perform electronic-structure calculation of  $NaMnO<sub>2</sub>$  within the framework of density-functional theory. The muffin-tin sphere radii of Na, Mn, and O atoms are set to be 2.5 a.u., 1.9 a.u., and 1.7 a.u., respectively. The plane-wave cut-off parameters  $R_{\text{MT}}K_{\text{max}}$  and  $G_{\text{MAX}}$  are 7.0 and 12.0, respectively, and the cutoff between core and valence states is  $-7.0$ Ry. General gradient approximation (GGA) for the exchange-correlation term takes the form deduced by Perdew-Burke-Ernzerhof[.22](#page-4-16) In this study, a triclinic unit cell is adopted because of the distinct AFM ordering (Fig. [1](#page-0-0)). The monoclinic cell can be converted into the triclinic cell by:  $a_t = 1/2(a_m + b_m)$  and  $b_t = 1/2(a_m - b_m)$ . A  $1 \times 2 \times 1$  supercell containing eight atoms was used for the AFM calculation whereas a smaller  $1 \times 1 \times 1$  unit cell containing four atoms was used for the FM and nonspin polarized calculations. For these two unit cells, 125 *k* points and 200 *k* points were used, respectively, in the first Brillouin zone. The self-consistency is achieved by demanding the convergence of total energy to be smaller than 1 meV. The optimization of the internal parameters shows that the site of O atoms is nearly the same as the experimental value,  $(0.2936, 0,$  and  $0.7957)$ , and the previous theoretical report for FM and AFM phases[.16](#page-4-11) Thus, the experimental internal parameters are adopted in all calculations.

#### **III. RESULTS AND DISCUSSION**

Table [I](#page-1-0) lists the lattice parameters at 4 and 300 K obtained in neutron-diffraction experiments[.18](#page-4-14)[,20](#page-4-9) Based on the relationship listed above, the monoclinic lattice parameters are equivalent to  $a_t = b_t = 3.1741 \text{ Å}, c_t = 5.8040 \text{ Å}, \alpha = \beta$ = 110.627°, and  $\gamma$ = 53.453° in the triclinic representation. This shows that during the process of monoclinic-triclinic distortion, the isosceles triangle composed of Mn ions  $(a_t)$  $=b_t \neq b_m$ ) is converted into random triangle  $(a_t \neq b_t \neq b_m)$  by lengthening one of the interchain Mn-Mn distances and shortening the other. This distortion, however, is rather small  $(\sim 0.1\%)$  and it is therefore expected that there is no dramatic change in total energy  $(E)$  and density of states (DOS). Figure [2](#page-1-1) shows the *E*-*V* curves, where the value of *E* corresponding to the experimental volume,  $V_{\text{exp}}$ , of triclinic phase is taken as energy zero. As can be seen that the equilibrium volume,  $V_0$ , is derived to be larger than  $V_{\text{exp}}$  by 2.6%, showing that GGA gives a good description on the ground state. Importantly, the *E*-*V* curves of triclinic and monoclinic phases nearly overlap with each other. The very small energy difference at  $V_0$  prohibits us from determining which phase is more stable because:  $(1)$  this difference is within the range of calculation error and  $(2)$  it originates not only from the  $a_t$ - $b_t$  distortion but also from other parameters, such as *c* and angles, which contain experimental errors. Figure [2](#page-1-1) also shows that the DOS at  $V_{\text{exp}}$  exhibit no differences between both phases. Thus, unlike the dominant stability of monoclinic phase relative to the rhombohedral phase reported earlier,  $\frac{16}{16}$  where the *E* and DOS have notable differences, it is difficult to distinguish which phase is stable between triclinic and monoclinic phases.

Starting from the experimental lattice parameters (Table [I](#page-1-0)), we now perform "pure" distortion calculations. We search for the possible energy minima by distorting the  $a_m b_m$   $(a_t b_t)$ plane of the unit cell (Fig. [1](#page-0-0)), simultaneously keeping  $c_m$ , angles and volume fixed. First, we calculate the variation in *E* in the  $a_t$ - $b_t$  distortion by lengthening of  $a_t$  and contracting of  $b_t$  or vice versa (Fig. [1](#page-0-0)). In this distortion, the Mn-Mn distance along the  $b_m$  direction remains nearly unaltered, i.e.,  $b_m$ = 2.8550 Å. Figure [3](#page-2-0) shows the  $a_t/b_t$  dependence of total energy relative to the value of experimental AFM triclinic phase. The *E* of AFM state is significantly lower than those of the FM and nonspin polarized states, characterizing the AFM ground state. The  $E-a_t/b_t$  curves for both the FM and

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FIG. 2. (Color online) The total energy as a function of volume for both AFM triclinic and monoclinic phases. The value corresponding to the experimental volume,  $V_{\text{exp}}$ , of triclinic phase is taken as energy zero. The inset shows the DOS at  $V_{\text{exp}}$  for both phases.

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FIG. 3. (Color online) The  $a_t/b_t$  dependence of total energy for both  $\alpha \neq \beta$  and  $\alpha = \beta$  calculations. The value of experimental AFM triclinic volume,  $V_{\text{exp}}$ , is taken as energy zero.

nonspin polarized states are not symmetrical about  $a_t/b_t$ = 1.0. The curves present two local minima around  $a_t/b_t$  $= 1.0$  and 1.0036 with the former slightly lower in energy than the latter. This asymmetry might be ascribed to a slight deviation between  $\alpha$  and  $\beta$  (see below). Intriguingly, the case is inversed for the AFM state. The  $E-a_1/b_1$  curve for AFM state exhibits only one minimum around  $a_t / b_t = 1.0036$ , close to the experimental value of  $a_t/b_t = 1.0023$ ; both energies are found to be lower than that of  $a_t/b_t= 1.0$ . It is stressed here that the structure with  $a_t / b_t = 1.0$  is not a monoclinic structure because  $\alpha$  and  $\beta$  are not equal.

In order to illustrate more clearly the stability of monoclinic and triclinic phases, we recalculated the value of *E*, assuming that the angles  $\alpha$  and  $\beta$  are equal and adopt the average, i.e.,  $\alpha = \beta = 110.440^{\circ}$ . In this case, the distortion by lengthening of  $a_t$  and contracting of  $b_t$  is now completely equivalent to the distortion by contracting of  $a_t$  and lengthening of  $b_t$  for the FM and nonspin polarized calculations. The resultant  $E-a_t/b_t$  curve exhibits significant symmetry about  $a_t/b_t = 1.0$  [Figs. [3](#page-2-0)(a) and 3(b)]. For the AFM state [Fig. [3](#page-2-0)(c)], however, the  $E-a_t/b_t$  curve with  $\alpha = \beta$  presents quite similar variation as that of  $\alpha \neq \beta$ . The value of *E* at  $a_t / b_t = 1.0023$  is found to be lower than that of  $a_t / b_t = 1.0$  by only 1 meV, which is comparable to the calculation error. However, judging from the trend of *E* as a function  $a_t/b_t$ , it is safely concluded that the triclinic structure with  $a_t/b_t$ = 1.0023 is more stable than that of  $a_t / b_t = 1.0$ , which is now an exact monoclinic structure: *a*= 5.6480 Å, *b*= 2.8540 Å,  $c = 5.7822$  Å, and  $b = 113.034$ °. Hence, our result is in good agreement with recent neutron-diffraction experiments show-ing the ground state of AFM triclinic phase.<sup>18,[20](#page-4-9)</sup>

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FIG. 4. (Color online) The  $a_m/b_m$  dependence of total energy relative to the value of experimental AFM monoclinic phase.

We now calculate the evolution of *E* as the compound undergoes structural distortion within the  $a_m b_m$  plane by lengthening of  $a_m$  and contracting of  $b_m$  or vice versa (see Fig. [1](#page-0-0)). For simplicity, the calculation starts from the experi-mental monoclinic lattice parameters (Table [I](#page-1-0)) because of its higher symmetry than that of triclinic cell. Figure [4](#page-2-1) shows the  $a_m/b_m$  dependence of *E* relative to the value of experimental AFM monoclinic phase. In the nonspin polarized calculation, there exists a minimum around  $a_m/b_m = 1.7679$ , much close to the idea value of 1.7320. This could be attributed to higher geometrical symmetry of  $a_m/b_m=1.7320$ , at which Mn ions form equilateral triangles in the  $a_m b_m$  plane and all the Mn-Mn distances become equal to each other note that this is not the rhombohedral structure because the  $MnO<sub>6</sub>$  octahedra are still distorted). As spin polarization is involved in the distortion, however, the value of *E* decreases rapidly and its minimum now moves to higher value of  $a_m/b_m$ = 1.9860, pointing exactly to the experimental mono-clinic structure (see Table [I](#page-1-0)). This shows that introduction of magnetic  $Mn^{3+}$  ions, either in FM or AFM ordering, tends to distort the geometrical crystal structure from equilateral to isosceles triangle, showing a magnetoelastic effect. Figure [4](#page-2-1) also shows that the AFM state has a lower *E* than the FM state. Thus, our results not only show that the AFM state is stable compared to the FM and nonspin polarized states, in good agreement with previous report<sup>16</sup> where the total energies as a function of volume for different phases were directly calculated but also predict the right value of  $a_m/b_m$ , which is originated from the magnetoelastic coupling.

Based on the results presented above, our study on structural distortions predicts right AFM triclinic ground state. The calculated ratio of lattice parameters,  $a_t/b_t$   $(a_m/b_m)$  of triclinic (monoclinic) phase is also much close to the experimental result. In reality, there are many systems whose different possible crystallographic structures are so similar to each other so that it is difficult to distinguish which is the ground state based on conventional total energy and DOS. Our calculations indicate that evolution of total energy with structural distortion is an effective way to clarify the phase stability of these systems.

In addition to these predictions, it is interesting to compare the  $a_m - b_m$  and  $a_t - b_t$  distortions. From a viewpoint of

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FIG. 5. (Color online) The total and projected DOS at  $a_m/b_m$  $= 1.7679$  and 1.9860 for the  $a_m - b_m$  distortion (left panel) and at  $a_t / b_t = 1.0$  and 1.0036 for the  $a_t - b_t$  distortion with  $\alpha = \beta = 110.440^\circ$ (right panel).

pure geometry, as an equilateral triangle consisting of Mn ions is distorted into an isosceles triangle with  $a_t = b_t \neq b_m$ (i.e., monoclinic), and further distorted into a random triangle with  $a_t \neq b_t \neq b_m$  (i.e., triclinic), the symmetry is reduced in sequence. Such a reduction in symmetry can be readily induced by magnetism, as clearly illustrated in Figs. [3](#page-2-0) and [4.](#page-2-1) In the  $a_m - b_m$  distortion, both FM and AFM ordering can induce a distortion from an equilateral to an isosceles triangular alignment of Mn ions, accompanying a large variation in distortion-related energy due to the strong magnetoelastic coupling. On the contrary, in the  $a_t$ - $b_t$  distortion, the FM ordering does not induce a distortion from an isosceles to a random triangular alignment of Mn ions. The monoclinic-triclinic distortion can be only induced by AFM ordering, accompanying a small variation in distortionrelated energy. This strongly suggests that magnetoelastic coupling is quite weak and the interchain AFM frustration is further lifted by this tiny  $a_t$ - $b_t$  distortion, ultimately prompting the formation of long-range AFM ordering in low temperatures[.18](#page-4-14)[,20](#page-4-9)

Differences between the  $a_m - b_m$  and  $a_t - b_t$  distortions can be further understood by DOS as shown in Fig. [5.](#page-3-0) For the experimental monoclinic phase with  $a_m/b_m$ = 1.9860 (Table [I](#page-1-0)), the Mn-O-Mn bond angles within the  $MnO<sub>2</sub>$  layer are nearly 90 $^{\circ}$ , giving rise to quite narrow Mn 3*d* bands. The  $t_{2g}$ state is located between −1.6 and −1.0 eV for the Mn1 majority spin. A bandgap of 1.3 eV separates well the  $d_{3z2-r2}$  and  $d_{x2-y2}$  bands due to the Jahn-Teller distortion, similar to the report in Ref. [19.](#page-4-13) In the  $a_m-b_m$  distortion, the average distortion of MnO<sub>6</sub> octahedron defined by  $d_1 / d_2$ , where  $d_1$  and  $d_2$ are the long and short Mn-O bond lengths, respectively, is increased from 17%  $(d_1 = 2.3114 \text{ Å} \text{ and } d_2 = 1.9701 \text{ Å})$  at  $a_{\rm m}/b_{\rm m}$  = 1.7679 to 24% *(d*<sub>1</sub> = 2.3947 Å and *d*<sub>2</sub> = 1.9284 Å) at  $a_m/b_m$ = 1.9860, accompanied by a large bandgap broadening of about 0.5 eV. Such a large distortion favorites the ferroorbital ordering of  $d_{3z2-r2}$  orbital along the long-bond direction of  $MnO<sub>6</sub>$  octahedron.<sup>18</sup>

In the  $a_t$ - $b_t$  distortion, the total and projected DOS for both monoclinic  $(a_t/b_t=1.0)$  and triclinic  $(a_t/b_t=1.0036$  and

 $\alpha = \beta = 110.440^{\circ}$  phase are nearly overlap with each other. However, a careful scrutiny still reveals a quite small bandgap broadening of about 0.008 eV after the distortion. With such a small modification in DOS, there are no significant changes in the occupation of *d* orbitals, the Mn-O bond lengths, and the Mn-O-Mn bond angles. Indeed, the spin moment of Mn is derived to be 3.30  $\mu_{\rm B}/Mn$  for both phases. In the distortion, the four short Mn-O bonds  $(d_2)$  $= 1.9270$  Å) at  $a_t/b_t = 1.0$  split into two unequal bonds  $(d_2)$  $= 1.9244$  and 1.9296 Å) at  $a_t/b_t = 1.0036$  but the two long Mn-O bonds  $(d_1 = 2.3829 \text{ Å})$  remain nearly unaltered. The resultant average distortion is nearly unchanged, i.e.,  $d_1 / d_2$ = 24%. Hence, the reduction in symmetry of  $MnO<sub>6</sub>$  octahedron is induced only by the four short Mn-O bonds, different from the  $a_m - b_m$  distortion where both the long and the short Mn-O bonds are elongated. It might be this short-bond related energy that causes the tiny monoclinic-triclinic transition.

Finally, it might be reasonable to assume that the distortion-associated energy correlates to magnetic exchange interactions. In the  $a_m - b_m$  distortion, Mn-Mn bond length varies considerably along the  $b<sub>m</sub>$  direction, giving rise to a relatively larger variation in energy because of the strong intrachain AFM coupling along this axis. On the contrary, in the  $a_t$ - $b_t$  distortion, the Mn-Mn bond length along  $b_m$  direction remains nearly unaltered, i.e., 2.8550 Å, leading to a relatively smaller variation in energy because of the weak interchain interaction. It was recently reported<sup>18,[20](#page-4-9)</sup> that the AFM interaction along the  $b<sub>m</sub>$  axis is much larger in magnitude than those along the  $a_t$  and  $b_t$  directions because of the cooperative ferro-orbital ordering of  $d_{3z2-r2}$  orbital in the distorted  $MnO<sub>6</sub>$  octahedra. Thus, our results are qualitatively consistent with strong anisotropic exchange interactions of this material.

#### **IV. CONCLUSIONS**

In summary, we have performed a first-principles study on the structural distortion of frustrated antiferromagnet  $\alpha$ -NaMnO<sub>2</sub> by assuming that the triangle consisting of Mn ions is distorted along the  $a_t$  and  $b_t$  directions in the triclinic cell and along the  $a_m$  and  $b_m$  directions in the monoclinic cell, respectively. Both distortions exhibit quite different behavior. The energy variation in the  $a_t$ - $b_t$  distortion is much smaller than that in the  $a_m - b_m$  distortion, which might be related to the anisotropic exchange interactions. Our calculation predicts the correct ratio of lattice parameters, *a*/*b*, in triclinic and monoclinic phases and qualitatively explains that AFM triclinic phase is the desired ground state, whose origin is the magnetoelastic effect lifting the magnetic frustration. Thus, our study on structural distortion is an effective way to clarify the phase stability among those compounds which own quite similar crystal structures.

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